IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

AFRAIS

Applicant:

Leonard Forbes et al.

Title:

CIRCUITS WITH A TRENCH

CAPACITOR HAVING

MICRO-ROUGHENED

SEMICONDUCTOR SURFACES

Docket No.:

303.389US2

Filed:

December 20, 1999

Examiner:

Eugene Lee

Customer No.: 21186

Serial No.: 09/467992

Due Date: N/A

Group Art Unit: 2815

Confirmation No.: 3099

MS Non-Fee Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

We are transmitting herewith the following attached items (as indicated with an "X"):

 \underline{X} A return postcard.

X A Preliminary Amendment (11 Pages).

X 5 sheets of Formal Drawings.

If not provided for in a separate paper filed herewith, Please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

Customer Number 21186

Atty: Viet V. Tong Reg. No. 45,416

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: MS Non-Fee Amendment, Commissioner for Patents, P.O.Box 1450, Alexandria, VA 22313-1450, on this ______ day of October, 2003.

S/N 09/467992

PATENT

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PRELIMINARY AMENDMENT

Mail Stop AF Commissioner for Patents P.O.Box 1450 Alexandria, VA 22313-1450

In addition to the amendment and response to the Final Office Action that Applicant filed on July 17, 2003, please amend the application as follows:

TECHNOLOGY CENTER 2800